



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/731,407	12/10/2003	Chandrashekhar Vasant Rode	4062-105

23117
NIXON & VANDERHYE, PC
1100 N GLEBE ROAD
8TH FLOOR
ARLINGTON, VA 22201-4714



CONFIRMATION NO. 3922

FORMALITIES LETTER



OC000000013548606

Date Mailed: 08/16/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

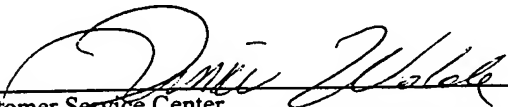
Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

08/25/2004 SSESHE1 00000114 10731407
01 FC:1001
02 FC:1051
770.00 BP
130.00 BP

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Attention: Application Branch

RODE et al.

Atty. Dkt. 4062-105

Serial No. 10/731,407

Filed: December 10, 2003

Date: August 24, 2004

For: PROCESS FOR PREPARATION OF 2-PHENYL ETHANOL

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:☐ **Correspondence Address Indication Form Attached.**☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR☐ Signed Declaration plus attached copy of originally filed specification/drawings.☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.☒ Record the attached assignment to Council of Scientific and Industrial Research, New Delhi, India and return to the undersigned.☐ Attached is a Power of Attorney.☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:**Application Number****Country****Day/Month/Year Filed**

respectively.

☐ Certified copy(ies) of foreign application(s) is/are attached.☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.☐ Please enter the attached preliminary amendment prior to calculation of filing fee.☐ Also attached: ☐ **Information Disclosure Statement**; ☐ **Nucleotide and/or Amino Acid Sequence Submission**; ☐ **Other**:**Fees due are calculated below:**

Basic filing fee		\$	770.00
Total Effective claims	14 - 20 = 0	x \$	18.00
Independent claims	1 - 3 = 0	x \$	86.00
If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper)		\$	0.00
		FILING FEE	\$ 770.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months)		\$	
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$	130.00
English translation of specification and claims (\$130.00)		\$	0.00
		FIRST SUBTOTAL	\$ 900.00
If "small entity," enter half (½) of subtotal and subtract		-\$	0.00
		SECOND SUBTOTAL	\$ 900.00
Assignment Recording Fee (\$40.00)		\$	40.00
		TOTAL FEE ENCLOSED	\$ 940.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
 Arlington, Virginia 22201-4714
 Telephone: (703) 816-4000
 Facsimile: (703) 816-4100
 LSN:vc

NIXON & VANDERHYE P.C.
 By Atty: Larry S. Nixon, Reg. No. 25,640

Signature: Larry S. Nixon

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

RODE et al.

Serial No. 10/731,407

Filed: December 10, 2003

For: PROCESS FOR PREPARATION OF 2-PHENYL ETHANOL

Attention: Application Branch

Atty. Dkt. 4062-105

Date: August 24, 2004

**Mail Stop Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:☐ **Correspondence Address Indication Form Attached.**☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR☐ Signed Declaration plus attached copy of originally filed specification/drawings.☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.☒ Record the attached assignment to Council of Scientific and Industrial Research, New Delhi, India and return to the undersigned.☐ Attached is a Power of Attorney.☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:**Application Number****Country****Day/Month/Year Filed**

respectively.

☐ Certified copy(ies) of foreign application(s) is/are attached.☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.☐ Please enter the attached preliminary amendment prior to calculation of filing fee.☐ Also attached: ☐ **Information Disclosure Statement**; ☐ **Nucleotide and/or Amino Acid Sequence Submission**; ☐ **Other**:**Fees due are calculated below:**

Basic filing fee				\$	770.00
Total Effective claims	14	- 20 =	0	x \$	18.00
Independent claims	1	- 3 =	0	x \$	86.00
If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper)				\$	0.00
				FILING FEE	\$ 770.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months)				\$	
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	130.00
English translation of specification and claims (\$130.00)				\$	0.00
				FIRST SUBTOTAL	\$ 900.00
If "small entity," enter half (½) of subtotal and subtract				-\$	0.00
				SECOND SUBTOTAL	\$ 900.00
Assignment Recording Fee (\$40.00)				\$	40.00
				TOTAL FEE ENCLOSED	\$ 940.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100
LSN:vc

NIXON & VANDERHYTE P.C.
By Atty: Larry S. Nixon, Reg. No. 25,640

Signature: Larry S. Nixon